

JOANNA CATALUCCI '88

SENIOR MANAGEMENT DIRECTOR & GUGGENHEIM FUNDS CHIEF COMPLIANCE OFFICER
GUGGENHEIM INVESTMENTS

Ms. Catalucci is a Senior Managing Director with compliance within Guggenheim Partners. In this role, she leads the strategic direction of the comprehensive compliance program for Guggenheim Investments focusing on compliance requirements of the investment advisors, products and distribution specific to Intermediary business. In addition, Ms. Catalucci assists in the facilitation of consistency and overall relationship management of the multiple fund boards.

Before joining Guggenheim, she served as senior vice president and chief compliance officer of Rydex|SGI for 12 years. In this role, Ms. Catalucci oversaw the compliance programs for Rydex|SGI's investment advisor, mutual funds and ETFs, as well as institutional accounts. Ms. Catalucci also oversaw the execution and monitoring of Rydex|SGI's Code of Ethics and coordinated all aspects of various regulatory exams. In addition, she led the Risk Committee for Rydex|SGI. Before joining Rydex|SGI in 2000, Ms. Catalucci served as vice president of fund administration for Chase Global Fund Services Co., where she was responsible for compliance for the Morgan Stanley Dean Witter group of funds. Before that, she served as an audit supervisor at PriceWaterhouseCoopers, LLP.

Ms. Catalucci holds a B.S. degree in accounting from Merrimack College in North Andover, MA.